

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bruce Eisen et al.

Assignee:

User Trends, Inc.

Title:

Electronically Distributing Promotional And Advertising Material

Based Upon Consumer Internet Usage

Serial No.:

09/379,167

Filing Date:

08/23/99

Examiner:

John L. Young

Group Art Unit: 2162

Docket No.:

M-7729 US

Newport Beach, California

April 16, 2003

Box NON-FEE AMENDMENT COMMISSIONER FOR PATENTS Washington, D. C. 20231

Dear Sir:

RECEIVED
GROUP 3600 Transmitted herewith are the following documents in the above-identified application.

1. Declaration of Bruce Eisen (Original)

A copy of same was forwarded to the Examiner via fax.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231,

on April 16, 2003.

Attorney for Applicant(s)

4.16.03

Date of Signature

Respectfully submitted,

F. Jason Far-hadian, Esq.

Attorney for Applicant(s)

Reg. No. 42,523

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DECLARATION OF BRUCE EISEN

I, Bruce Eisen, the undersigned declare as follows:

- 1. I am the President and CEO of UserTrends corporation. UserTrends is a privately held company headquartered in Los Angeles, California, which has developed proprietary e-mail marketing personalization solutions for both traditional and online retailers.
- 2. I have been in the email marketing industry for approximately four years. In my capacity as the President and CEO of UserTrends, I make decisions involving product development, market research, business models and strategies as well as determining the vision and direction of Usertrends both financially and in product marketing. Based on my background and experience in the industry, the scope of my duties as the President and CEO of UserTrends, my personal experience with UserTrends technology, and my knowledge of other technologies in the email marketing industry, I provide you the following professional opinion.
- 3. UserTrends data collection tools help companies learn about and precisely target individual customer interests. These solutions enable marketers to generate higher response rates to promotions, save on marketing costs, and increase sales and profits---all, while building a loyal customer base.

GROUP 3600

- 4. UserTrends' technology is different from cookie profiling and other email consumer profiling technologies for the following reasons: (1) UserTrends' technology accurately profiles a particular individual's interests and preferences by focusing on a known identifier associated with that particular individual, rather than using unanimous identifying means (i.e., a cookie) typically associated with a computer used by the individual, (2) UserTrends' technology does not require storage of a unique identifier on the client computer at any time and therefore does not require use of a certain "cookie handling feature" provided in a browsing software, (3) UserTrends' technology does not require authorized access to the client computer to retrieve information in a cookie file, (4) because of the above advantages implementation of UserTrends' technology requires substantially less resources and no or minimal customization and is substantially less expensive, and (5) UserTrends' technology does not burden the targeted audience to go through a lengthy or inconvenient registration process.
- 5. Competing electronic consumer profiling technologies generally capture individual data via an unfriendly registration process or by requiring a visitor logging in to the site or making a purchase. The e-marketing industry has generally used such data for mass direct marketing campaigns. In the case of data collected through a lengthy registration process, which only reveals a consumer's interest at a *particular moment* in time, aggregate profiling is usually necessary to determine what promotions to send the consumer.
- 6. Various competing profiling methods and their disadvantages when compared to UserTrends' technology are provided below:

<u>Purchase Profiling</u> – While purchase profiling is an accurate way of determining one interest of a customer, it isn't able to relate the customer's interests with other products they looked at but did not purchase. UserTrends' technology doesn't require a purchase or input of sensitive financial information to collect behavior data.

<u>Registration Profiling</u> – This requires individuals to take the time to fill out a long survey to provide marketers with some data regarding their particular interests. Unfortunately, the accuracy and reliability of such collected data are often questionable. These surveys can also often fail to weigh customer interests and only represent interests at one remote

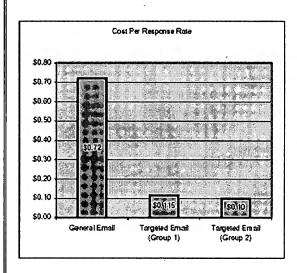
instance—although interests change, registrations remain the same. UserTrends' technology does not require a burdensome registration and allows updating users' interest data based on each individual user's activities on various web pages.

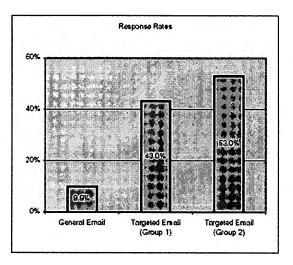
Cookie Profiling - Although many websites employ cookie technology to capture some group and individual data, the identity of the website user remains anonymous. This is because a cookie can be only associated with a computer system and not an individual. Those who regard cookies as invasive erase them or block a web server from storing cookies on their computers. This makes it difficult, if not impossible, for marketers to acquire a consistent and individual profile. Without the need for cookies or the need for accessing client computer, UserTrends' technology collects, identifies, and tracks individual data transparently for profiling and marketing purposes.

- 7. In particular, cookie profiling technology requires modification and customization of websites so that web servers can successfully access and store a cookie on an end user's computer. Further, customization and modifications are required to accommodate the cookie handling features of various browser applications used by an end user so that the cookie profiling technology could work effectively across all systems and platforms. Customization of each website or web server is associated with high professional service fees and requires more sophisticated computing resources (e.g., software and hardware).
- 8. UserTrends' technology simply relies on preexisting email-associated resources and uses an email campaign that does not rely on customized web sites, sophisticated web servers, cookies or compatibility with cookie handling features of various browsers to collect highly individualized data. All that is required is an individual's email address and the individual's capability to receive email. The simplicity of UserTrends' technology provides a very efficient profiling technology that has convinced many UserTrends' clients to abandon other costly methodologies and solutions used previously.
- 9. The data collected via a cookie profiling technology cannot be directly associated with a particular user or email because a cookie profiling technology only provides information about the computer used and not the particular user. Where more than one individual uses the same

computer, or where an individual uses more than one computer, cookie profiling technology does not provide accurate behavior patterns that are so valuable for targeted marketing.

10. UserTrends' technology allows association of an email campaign data to each individual's email address and does not depend on anonymous data (i.e., data that provides an association of behavior to a specific computer rather than to an individual). Our studies have shown that as a direct result of using Usertrands' technology higher response rates and conversion rates have been achieved from email campaigns by our clients over time as illustrated in the following charts.





- 11. Other email marketers have failed in targeting promotions to demonstrated web-site behavior down to the individual and therefore cannot accurately individualize the result of the information gathered from monitoring user movement on the Internet. UserTrends' technology addresses this long-felt need to solve the above deficiencies and problems. Utilizing UserTrends' technology targeted email promotions to individuals can be achieved, instead of executing mass marketing campaigns or marketing to large groups.
- 12. Utilizing UserTrends' technology has reduced professional service requirements of UserTrends' clients by more than 50%. This cost saving and efficiency in particular is due to ease of implementation and use of the Usertrends' technology because it does not require special "customization" of server systems and website servers that other cookie or registration profiling technologies require.

- 13. UserTrends has negotiated and is currently negotiating licensing arrangements with a number of current clients and other email marketing vendors to license UserTrends' proprietary technology. Majority of the license agreements are to vendors who "resell" the technology to their clients. Cable and Wirless (CWP), Xpedite (PTEK), Boldfish, and eContacts are among the vendors who have used UserTrends technology and have licensed or are considering licensing the technology. Many clients of these vendors have already used UserTrends' technology. These clients include HP, Compaq, Hawaiian Airlines, One World Networks, and CarrierPath.
- 14. The above information provides objective evidence of unexpected results, commercial success, the ability to meet a long-felt need where others have failed, and other factual evidence in relation to UserTrends' technology, such as licensing activities. This evidence when considered collectively indicates that UserTrends technology has been used to provide a distinct and novel solution to overcome an insoluble problem associated with the older electronic profiling technologies discussed above.
- 15. I hereby declare that all statements made herein are of my own knowledge and true, and that all said statements are made on information and belief and are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code,¹ and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Date: November 14, 2002

By: CEO, USCRTKENDS

⁽a) Except as otherwise provided in this section, whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully -

⁽¹⁾ falsifies, conceals, or covers up by any trick, scheme, or device a material fact;

⁽²⁾ makes any materially false, fictitious, or fraudulent statement or representation; or

⁽³⁾ makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry; shall be fined under this title or imprisoned not more than 5 years, or both.

⁽b) Subsection (a) does not apply to a party to a judicial proceeding, or that party's counsel, for statements, representations, writings or documents submitted by such party or counsel to a judge or magistrate in that proceeding.

⁽c) With respect to any matter within the jurisdiction of the legislative branch, subsection (a) shall apply only to -

⁽¹⁾administrative matters, including a claim for payment, a matter related to the procurement of property or services, personnel or employment practices, or support services, or a document required by law, rule, or regulation to be submitted to the Congress or any office or officer within the legislative branch; or

⁽²⁾any investigation or review, conducted pursuant to the authority of any committee, subcommittee, commission or office of the Congress, consistent with applicable rules of the House or Senate.

Bruce Eisen

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14 LB	14 LA	14 CD	13 96	13 96 S	13 28	13 28 S	13 ZY	13 ZY S	13 ZX	13 ZX S	13 ZR	13 ZR S	13 17	13 YY S	13 YX	13 YX	13 YX S	13 YS	13 YS S	13 YP	13 YP S	131/1	13 17 8	13 YF	13 YF S	1370	13 YC S	13 YA	13 YA S	13 YN	13 XD S	13 77	13 SY	13 SY S	13 SS	13 SS S	13 RZ	13 RZ S	35
14 LB LDN	14 LA LON	14 CD LDA	13 96 NYC	13 96 S NYC	13 28 NYC	13 28 S NYC	13 ZY FINE	13 ZY S FINE	13 ZX NEW	13 ZX S NEW	13 ZR	13 ZR S	13 YY US \$	13 YY S US\$	13 YX NYF	13 YX NYF	13 YX S NYF	13 YS NYF	13 YS S NYF	13 YP BRIT	13 YP S	131/1	13 17 8	13 YF US \$	13 YF S US\$	13 YC US \$	13 YC S US	13 YA FINE	13 YA S FINE	13 YI	13 XD S	13 77	13 SY BP0	13 SY S	13 SS	13 SS S	13 RZ	13 RZ S FINE	37.63 83/1 COT
14 LB LDN	14 LA LON	14 CD LDA	13 96 NYC	13 96 S NYC	13 28 NYC	13 28 S NYC	13 ZY FINE	13 ZY S FINE	13 ZX NEW	13 ZX S NEW	13 ZR	13 ZR S	13 YY US \$	13 YY S US\$	13 YX NYF	13 YX NYF	13 YX S NYF	13 YS NYF	13 YS S NYF	13 YP BRIT	13 YP S	131/1	13 77 8	13 YF US \$	13 YF S US\$	13 YC US \$	13 YC S US	13 YA FINE	13 YA S FINE	13 YI	13 XD S	13 77	13 SY BP0	13 SY S	13 SS	13 SS S	13 RZ	13 RZ S FINE	37.63 83/1 COT
14 LB LDN	14 LA LON	14 CD LDA	13 96 NYC	13 96 S NYC	13 28 NYC	13 28 S NYC	13 ZY FINE	13 ZY S FINE	13 ZX NEW	13 ZX S NEW	13 ZR	13 ZR S	13 YY US \$	13 YY S US\$	13 YX NYF	13 YX NYF	13 YX S NYF	13 YS NYF	13 YS S NYF	13 YP BRIT	13 YP S	131/1	13 77 8	13 YF US \$	13 YF S US\$	1370	13 YC S US	13 YA FINE	13 YA S FINE	13 YIN NYC	13 XD S	13 77	13 SY BP01	13 SY S	13 SS	13 SS S	13 RZ	13 RZ S FINE	35
14 LB	14 LA LON	14 CD LDN	13 96 NYC	13 96 S NYC	13 28 NYC	13 28 S NYC	13 ZY FINEX SF/JY	13 ZY S FINEX SF/JY	13 ZX NEW	13 ZX	13 ZR	13 ZR S	13 YY US \$/JAP YEN	13 YY S US \$/JAP YEN	13 YX NYF NYSE INDEX	13 YX NYF	13 YX S NYF	13 YS NYF	13 YS S NYF	13 YP BRIT	13 YP S	131/1	13 YL S LARK	13 YF US \$	13 YF S USS	13 YC US \$	13 YC S US S/CAN S	13 YA FINEX AD/JY	13 YA S FINE	13 YI	13 XD S	13 TK NYF	13 SY BP0	13 SY S	13 SS	13 SS S	13 RZ FINE	13 RZ S FINEX EURO/SF	प्रकृति । प्रकृति ।
14 LB LON EEC BARLEY	14 LA LON COCOA	14 CD LDN COFFEE RO	13 96 NYC FRZN O J	13 96 S NYC FRZN O J	13 28 NYC COTTON	13 28 S NYC COTTON	13 ZY FINEX SF/JY	13 ZY S FINEX SF/JY	13 ZX NEW ZEAL\$/US\$	13 ZX S NEW ZEAL\$/US\$	13 ZR US\$/SAF RAND	13 ZR S USSAFRAND	13 YY US \$/JAP YEN	13 YY S US \$/JAP YEN	13 YX NYF NYSE INDEX	13 YX NYF NYSE INDEX	13 YX S NYF NYSE INDEX	13 YS NYF SM CP IDX	13 YS S NYF SM CP IDX	13 YP BRIT PD/US\$	13 YP S BRIT PD/US\$	13 YL LARGE CMP IDX	13 YL S LARGE CMP IDX	13 YF US \$/S-FRANCS	13 YF S US \$/S-FRANCS	13 YC US S/CAN S	13 YC S US S/CAN S	13 YA FINEX AD/JY	13 YA S FINEX AD/JY 26	13 YO NYCHED DY	13 XD S NYC USD IDX	13 TK NYFF TECH INDX	13 SY BPOUND/J YEN	13 SY S BPOUND/J YEN 53	13 SS B PND/S FRANC	13 SS S B PND/S FRANC	13 RZ FINEX EURO/SF	13 RZ S FINEX EURO/SF	प्रकृति । प्रकृति ।
14 LB LDN	14 LA LON	14 CD LDN COFFEE RO	13 96 NYC FRZN O J	13 96 S NYC FRZN O J	13 28 NYC COTTON	13 28 S NYC COTTON	13 ZY FINEX SF/JY	13 ZY S FINEX SF/JY 30	13 ZX NEW ZEAL\$/US\$	13 ZX S NEW ZEAL\$/US\$	13 ZR US\$/SAF RAND	13 ZR S USSAFRAND	13 YY US \$/JAP YEN	13 YY S US\$	13 YX NYF NYSE INDEX	13 YX NYF NYSE INDEX	13 YX S NYF NYSE INDEX 2	13 YS NYF SM CP IDX	13 YS S NYF SM CP IDX	13 YP BRIT PD/US\$	13 YP S BRIT PD/US\$	13 YL LARGE CMP IDX	13 YL S LARGE CMP IDX	13 YF US \$/S-FRANCS	13 YF S US \$/S-FRANCS	13 YC US S/CAN S	13 YC S US S/CAN S	13 YA FINEX ADVIY	13 YA S FINE	13 YO NYCHED DY	13 XD S NYC USD IDX	13 TK NYFF TECH INDX	13 SY BPOUND/J YEN	13 SY S BPOUND/J YEN 53	13 SS B PND/S FRANC	13 SS S B PND/S FRANC	13 RZ FINEX EURO/SF	13 RZ S FINEX EURO/SF	37.63 83/1 COT
14 LB LON EEC BARLEY	14 LA LON COCOA	14 CD LDN COFFEE RO	13 96 NYC FRZN O J	13 96 S NYC FRZN O J	13 28 NYC COTTON	13 28 S NYC COTTON 1,050	13 ZY FINEX SF/JY 399,000	13 ZY S FINEX SF/JY 399,000	13 ZX NEW ZEAL\$/US\$	13 ZX S NEW ZEAL\$/US\$ 1,729	13 ZR US\$/SAF RAND 33,250	13 ZR S US\$/SAF RAND 33,250	13 YY US \$/JAP YEN 465,500	13 YY S US \$/JAP YEN 465,500 3	13 YX NYF NYSE INDEX 20,000	13 YX NYF NYSE INDEX 6,120	13 YX S NYF NYSE INDEX 20,000	13 YS NYF SM CP IDX	13 YS S NYF SM CP IDX	13 YP BRIT PD/US\$	13 YP S BRIT PD/US \$ 2,660	13 YL LARGE CMP IDX 49.200	13 YL S LARGE CMP IDX 49,200	13 YF US \$/S-FRANCS	13 YF S US \$/S-FRANCS	13 YC US S/CAN S	13 YC S US \$(CAN \$ 3.99)	13 YA FINEX AD/JY 266 mm	13 YA S FINEX AD/JY 266 000	13 YO NYCHED DY	13 XD S NYC USD IDX	13 TK NYFF TECH INDX 3.060	13 SY BPOUND/J YEN 532,000	13 SY S BPOUND/J YEN 532,000	13 SS B PND/S FRANC	13 SS S B PND/S FRANC	13 RZ FINEX EURO/SF	13 RZ S FINEX EURO/SF	प्रकृति । प्रकृति ।
14 LB LON EEC BARLEY 150	14 LA LDN COCOA 500	14 CD LDN COFFEE RO 200	13 96 NYC FRZN OJ 695	13 96 S NYC FRZN O J 695	13 28 NYC COTTON 1,050	13 28 S NYC COTTON 1,050	13 ZY FINEX SF/JY 399,000	13 ZY S FINEX SF/JY 399,000 30	13 ZX NEW ZEAL\$/US\$ 1,729	13 ZX S NEW ZEAL\$/US\$ 1,729	13 ZR US\$/SAF RAND 33,250	13 ZR S US\$/SAF RAND 33,250	13 YY US \$/JAP YEN 465,500	13 YY S US \$/JAP YEN 465,500 3	13 YX NYF NYSE INDEX 20,000	13 YX NYF NYSE INDEX 6,120	13 YX S NYF NYSE INDEX 20,000 2	13 YS NYF SM CP IDX 1,000	13 YS S NYF SM CP IDX 1,000	13 YP BRIT PD/US \$ 2,660	13 YP S BRIT PD/US \$ 2,660	13 YL LARGE CMP IDX 49.200	13 YL S LARGE CMP IDX 49,200	13 YF US \$/S-FRANCS 4.788	13 YF S US \$/S-FRANCS 4.788	13 YC US \$/CAN \$ 3.990	13 YC S US \$/CAN \$ 3 990	13 YA FINEX AD/JY 266 mm	13 YA S FINEX AD/JY 266 000 20	13 YOU COUNTY A 230	13 XD S NYC LISD IDX 1 330	13 TK NYFE TECH INDX 3 060	13 SY BPOUND/J YEN 532,000	13 SY S BPOUND/J YEN 532,000	13 SS B PND/S FRANC 3,591	13 SS S B PND/S FRANC 3,591	13 RZ FINEX EURO/SF 1,330	13 RZ S FINEX EURO/SF 1,330	प्रकृति । प्रकृति ।
14 LB LON EEC BARLEY	14 LA LDN COCOA 500	14 CD LDN COFFEE RO 200	13 96 NYC FRZN OJ 695	13 96 S NYC FRZN O J 695	13 28 NYC COTTON 1,050	13 28 S NYC COTTON 1,050	13 ZY FINEX SF/JY 399,000	13 ZY S FINEX SF/JY 399,000 30	13 ZX NEW ZEAL\$/US\$ 1,729	13 ZX S NEW ZEAL\$/US\$ 1,729	13 ZR US\$/SAF RAND 33,250	13 ZR S US\$/SAF RAND 33,250	13 YY US \$/JAP YEN 465,500	13 YY S US \$/JAP YEN 465,500 3	13 YX NYF NYSE INDEX 20,000	13 YX NYF NYSE INDEX 6,120	13 YX S NYF NYSE INDEX 20,000 2	13 YS NYF SM CP IDX 1,000	13 YS S NYF SM CP IDX 1,000	13 YP BRIT PD/US \$ 2,660	13 YP S BRIT PD/US \$ 2,660	13 YL LARGE CMP IDX 49.200	13 YL S LARGE CMP IDX 49,200	13 YF US \$/S-FRANCS	13 YF S US \$/S-FRANCS 4.788	13 YC US S/CAN S	13 YC S US \$/CAN \$ 3 990	13 YA FINEX AD/JY 266 mm	13 YA S FINEX AD/JY 26	13 YO NIVO HON A 230	13 XD S NYC LISD IDX 1 330	13 TK NYFF TECH INDX 3.060	13 SY BPOUND/J YEN 532,000	13 SY S BPOUND/J YEN 532,000 40	13 SS B PND/S FRANC 3,591	13 SS S B PND/S FRANC 3,591	13 RZ FINEX EURO/SF 1,330	13 RZ S FINEX EURO/SF 1,330 1,000	Med [85] Expression see that the space of the second secon
14 LB LON EEC BARLEY 150	14 LA LDN COCOA 500	14 CD LDN COFFEE RO 200	13 96 NYC FRZN OJ 695	13 96 S NYC FRZN O J 695	13 28 NYC COTTON 1,050	13 28 S NYC COTTON 1,050 750	13 ZY FINEX SF/JY 399,000 300,000	13 ZY S FINEX SF/JY 399,000 300,000 30	13 ZX NEW ZEAL\$/US\$ 1,729 1,300	13 ZX S NEW ZEAL\$/US\$ 1,729 1,300	13 ZR US\$/SAF RAND 33,250 25,000	13 ZR S US\$/SAF RAND 33,250 25,000	13 YY US \$/JAP YEN 465,500 350,000	13 YY S US \$JAP YEN 465,500 350,000	13 YX NYF NYSE INDEX 20,000 20,000	13 YX NYF NYSE INDEX 6,120 6,120	13 YX S NYF NYSE INDEX 20,000 20,000 2	13 YS NYF SM CP IDX 1,000 1,000	13 YS S NYF SM CP IDX 1,000 1,000	13 YP BRIT PD/US \$ 2,660 2,000	13 YP S BRIT PD/US\$ 2,660 2,000	13 YL LARGE CMP IDX 49.200 49.200	13 YL S LARGE CMP IDX 49,200 49,200	13 YF US \$/S-FRANCS 4,788 3,600	13 YF S US \$/S-FRANCS 4.788 3.600	13 YC US \$/CAN \$ 3,990 3,000	13 YC S US \$/CAN \$ 3,990 3,000	13 YA FINEX ADVIY 266 000 200 000	13 YA S FINEX ADATY 266 000 200 000	13 YN NYC HON YAN AND AND AND AND AND AND AND AND AND A	13IXD S NYC LISD IDX 1 330 1 000	13 TK NYFF TECH INDX 3.060 3.060	13 SY BPOUND/J YEN 532,000 400,000	13 SY S BPOUND/J YEN 532 000 400 000	13 SS B PND/S FRANC 3,591 2,700	13 SS S B PND/S FRANC 3,591 2,700	13 RZ FINEX EURO/SF 1,330 1,000	13 RZ S FINEX EURO/SF 1,330 1,000	(1) (1) (2) (3) (3) (4) (4) (4) (4) (5) (5) (6) (6) (6) (6) (6) (6) (6) (6) (6) (6
14 LB LON EEC BARLEY 150 150	14 LA LDN COCOA 500 500	14 CD LDN COFFEE RO 200 200	13 96 NYC FRZN OJ 695 497	13 96 S NYC FRZN OJ 695 497	13 28 NYC COTTON 1,050 750	13 28 S NYC COTTON 1,050 750	13 ZY FINEX SF/JY 399,000 300,000	13 ZY S FINEX SF/JY 399,000 300,000 30	13 ZX NEW ZEAL\$/US\$ 1,729 1,300	13 ZX S NEW ZEAL\$/US\$ 1,729 1,300	13 ZR US\$/SAF RAND 33,250 25,000	13 ZR S US\$/SAF RAND 33,250 25,000	13 YY US \$/JAP YEN 465,500 350,000	13 YY S US \$JAP YEN 465,500 350,000	13 YX NYF NYSE INDEX 20,000 20,000	13 YX NYF NYSE INDEX 6,120 6,120	13 YX S NYF NYSE INDEX 20,000 20,000 2	13 YS NYF SM CP IDX 1,000 1,000	13 YS S NYF SM CP IDX 1,000 1,000	13 YP BRIT PD/US \$ 2,660 2,000	13 YP S BRIT PD/US\$ 2,660 2,000	13 YL LARGE CMP IDX 49.200 49.200	13 YL S LARGE CMP IDX 49,200 49,200	13 YF US \$/S-FRANCS 4,788 3,600	13 YF S US \$/S-FRANCS 4.788 3.600	13 YC US \$/CAN \$ 3,990 3,000	13 YC S US \$/CAN \$ 3,990 3,000	13 YA FINEX ADVIY 266 000 200 000	13 YA S FINEX ADATY 266 000 200 000	13 YN NYC HON YAN AND AND AND AND AND AND AND AND AND A	13IXD S NYC LISD IDX 1 330 1 000	13 TK NYFF TECH INDX 3.060 3.060	13 SY BPOUND/J YEN 532,000 400,000	13 SY S BPOUND/J YEN 532 000 400 000	13 SS B PND/S FRANC 3,591 2,700	13 SS S B PND/S FRANC 3,591 2,700	13 RZ FINEX EURO/SF 1,330 1,000	13 RZ S FINEX EURO/SF 1,330 1,000	(1) (1) (2) (3) (3) (4) (4) (4) (4) (5) (5) (6) (6) (6) (6) (6) (6) (6) (6) (6) (6
14 LB LON EEC BARLEY 150	14 LA LDN COCOA 500	14 CD LDN COFFEE RO 200 200	13 96 NYC FRZN OJ 695 497	13 96 S NYC FRZN O J 695 497	13 28 NYC COTTON 1,050 750	13 28 S NYC COTTON 1,050 750 750	13 ZY FINEX SF/JY 399,000 300,000 300,000	13 ZY S FINEX SF/JY 399,000 300,000 30	13 ZX NEW ZEAL\$/US\$ 1,729	13 ZX S NEW ZEAL\$/US\$ 1,729 1,300	13 ZR US\$/SAF RAND 33,250 25,000	13 ZR S US\$/SAF RAND 33,250 25,000 25,000	13 YY US \$/JAP YEN 465,500 350,000 350,000	13 YY S US \$1,1AP YEN 465,500 350,000 350,000	13 YX NYF NYSE INDEX 20,000 20,000	13 YX NYF NYSE INDEX 6,120 6,120	13 YX S NYF NYSE INDEX 20,000 20,000 2	13 YS NYF SM CP IDX 1,000 1,000	13 YS S NYF SM CP IDX 1,000 1,000	13 YP BRIT PD/US \$ 2,660 2,000	13 YP S BRIT PD/US\$ 2,660 2,000	13 YL LARGE CMP IDX 49,200 49,200	13 YL S LARGE CMP IDX 49,200 49,200 4	13 YF US \$/S-FRANCS 4,788 3,600	13 YF S US \$/S-FRANCS 4.788	13 YC US \$/CAN \$ 3,990 3,000	13 YC S US S/CAN \$ 3,990 3,000 3,000	13 YA FINEX ADVIY 286 000 200 000 200 000	13 YA S FINEX AD/JY 266 000 200 000 200 000	13 YO NIVE I CON 1 AND 1	13IXD S NYC LISD IDX 1 330 1 000	13 TK NYFE TECH INDX 3.060 3.060	13 SY BPOUND/J YEN 532,000 400,000 400,000	13 SY S BPOUNDY YEN 532,000 400,000 400,000	13 SS B PND/S FRANC 3,591 2,700 2,700	13 SS S B PND/S FRANC 3,591 2,700	13 RZ FINEX EURO/SF 1,330 1,000	13 RZ S FINEX EURO/SF 1,330 1,000	Also (S) Equivação (S) (S) (S) (S) (S) (S) (S) (S) (S) (S)
14 LB LDN EEC BARLEY 150 150 150	14 LA LDN COCOA 500 500 500	14 CD LDN COFFEE RO 200 200 200	13 96 NYC FRZN OJ 695 497 350	13 96 S NYC FRZN OJ 695 497 350	13 28 NYC COTTON 1,050 750 750	13 28 S NYC COTTON 1,050 750 750	13 ZY FINEX SF/JY 399,000 300,000 300,000	13 ZY S FINEX SF/JY 399,000 300,000 300,000 30	13 ZX NEW ZEAL\$/US\$ 1,729 1,300 1,300	13 ZX S NEW ZEAL\$/US\$ 1,729 1,300 1,300	13 ZR US\$/SAF RAND 33,250 25,000 25,000	13 ZR S US\$/SAF RAND 33,250 25,000 25,000	13 YY US \$/JAP YEN 465,500 350,000 350,000	13 YY S US \$/JAP YEN 465,500 350,000 350,000 3	13 YX NYF NYSE INDEX 20,000 20,000 20,000	13 YX NYF NYSE INDEX 6,120 6,120 6,120	13 YX S NYF NYSE INDEX 20,000 20,000 20,000 2	13 YS NYF SM CP IDX 1,000 1,000 1,000	13 YS S NYF SM CP IDX 1,000 1,000 1,000	13 YP BRIT PD/US \$ 2,660 2,000 2,000	13 YP S BRIT PD/US\$ 2,660 2,000 2,000	13 YL LARGE CMP IDX 49,200 49,200 49,200	13 YL S LARGE CMP IDX 49,200 49,200 49,200 4	13 YF US \$/S-FRANCS 4.788 3.600 3.600	13 YF S US \$/S-FRANCS 4.788 3.600	13 YC US \$CAN \$ 3.990 3.000 3.000	13 YC S US \$/CAN \$ 3,990 3,000	13 YA FINEX ADVIY 266 000 200 000 200 000	13 YA S FINEX AD/JY 266 000 200 000 200 000	13 XID NYC I CON TO TO TO TO TO TO TO TO TO TO TO TO TO	13 XD S NYC USD DX 1 330 1 000 1 000	13 TK NYFE TECH INDX 3.060 3.060 3.060	13 SY BPOUND/J YEN 532,000 400,000 400,000	13 SY S BPOUND/J YEN 532 000 400 000	13 SS B PND/S FRANC 3,591 2,700 2,700	13 SS S B PND/S FRANC 3,591 2,700 2,700	13 RZ FINEX EURO/SF 1,330 1,000 1,000	13 RZ S FINEX EURO/SF 1,330 1,000	(1) (1) (2) (3) (3) (4) (4) (4) (4) (5) (5) (6) (6) (6) (6) (6) (6) (6) (6) (6) (6